Reduced gray matter volume in psychotic disorder patients with a history of childhood sexual abuse

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1. Introduction

Reduced gray matter volume across multiple brain regions is a consistent finding in studies of schizophrenia and bipolar disorder (Hirayasu et al., 2001; Glahn et al., 2008; Ellison-Wright and Bullmore, 2010; Smieskova et al., 2010; Shepherd et al., 2012). Global gray matter loss is found in both chronic (Zipursky et al., 1992; Lim et al., 1996) and neuroleptic-naïve patients (Gur et al., 1999), with volume loss ranging from 2 to 6%. Despite the strong evidence for reduced gray matter volume in psychotic disorders, the etiology and time course of this process remain unclear.

The diathesis-stress model of mental illness suggests that a major life stressor such as childhood trauma could have a serious impact on the trajectory of brain development and contribute to the onset of a psychiatric disorder (Rosenthal, 1970; Mirsky and Duncan, 1986; Cicchetti and Lynch, 1995). Consistent with this notion, multiple studies have found a higher prevalence of psychiatric disorders in individuals who have experienced childhood abuse (Mullen et al., 1993; Spauwen et al., 2006; Cutajar et al., 2010). While abuse early in life is a major risk factor for mental illness, childhood trauma is known to impact brain structure, even in individuals without a psychiatric diagnosis (Twardosz and Lutzker, 2010). Specifically, childhood trauma has been linked to reduced total brain volume (De Bellis et al., 1999), and selective gray matter volume loss in the hippocampus (Vythilingam et al., 2002), prefrontal cortex (De Bellis et al., 2002), amygdala (Aas et al., 2012), and visual cortex (Tomoda et al., 2009). Interestingly, many of the brain abnormalities seen in abused individuals, in particular gray matter volume loss, are similar to those found in psychotic disorder patients (Honea et al., 2005; Hart and Rubia, 2012). This overlap led us to explore the relationship between gray matter volume, childhood trauma, and the diagnosis of a psychotic disorder.

We used voxel-based morphometry (VBM) to quantify gray and white matter volume and the Childhood Trauma Questionnaire (CTQ) to measure childhood abuse. Within the psychotic disorder group, total gray matter volume was inversely correlated with the severity of childhood sexual abuse ($r = -0.34$, $p = 0.008$), but not the other types of abuse. When the 24 patients with sexual abuse were compared with demographically matched samples of 23 patients without sexual abuse and 26 control subjects, only patients with a history of sexual abuse had reduced total gray matter volume ($t(48) = 2.3$, $p = 0.03$; Cohen’s $d = 0.63$). Voxel-based analysis revealed a cluster in the prefrontal cortex where volume was negatively correlated with sexual abuse severity. Voxel based comparison of the three matched groups revealed a similar pattern of results, with widespread reductions in psychosis patients with sexual abuse relative to controls that were not found in psychosis patients without sexual abuse. These findings indicate that some of the variance of gray matter volume in psychotic disorders can be explained by a history of sexual abuse.

2. Methods

2.1. Subjects

Participants included 60 psychotic disorder patients (26 schizophrenia, 17 schizoaffective disorder, 17 bipolar disorder type I with psychotic features) and 26 healthy control subjects. Psychotic...
disorder patients were recruited from the inpatient and outpatient clinic of the Vanderbilt Psychiatric Hospital, and healthy controls were recruited via advertisements within the community. All participants completed written informed consent after approval of the study protocol by the Vanderbilt University Institutional Review Board, Nashville, Tennessee. All participants were administered the Structured Clinical Interview of the DSM-IV-TR (SCID) to confirm diagnoses in patients and rule out current or past psychiatric illness in healthy controls. An estimate of pre-morbid IQ was collected from all participants using the Wechsler Test of Adult Reading (Weschler, 2001). In addition, we assessed all patients with the Hamilton Rating Scale for Depression (HAM-D), Young Mania Rating Scale (YMRS) and Positive and Negative Syndrome Scale (PANSS) (Hamilton, 1960; Young et al., 1978; Kay et al., 1987). Exclusion criteria included age less than 16 or greater than 65, estimated pre-morbid IQ of less than 70, presence of a systemic medical illness (e.g. diabetes, cardiovascular disease) or central nervous system disorder (e.g. multiple sclerosis, epilepsy) that would affect study results, reported pregnancy or lactation, history of significant head trauma, psychotropic drug use (healthy subjects only), substance abuse within the last three months (patients) or lifetime history of substance abuse/dependence (healthy subjects), and MRI contra-indicators (e.g. metal implants, claustrophobia) (for subject demographics, see Table 1).

2.2. Measures

2.2.1. Childhood Trauma Questionnaire (CTQ)

All participants completed the short version of the Childhood Trauma Questionnaire (CTQ, Bernstein et al., 1997), a self-report questionnaire that measures the experience and severity of five different types of childhood trauma: physical abuse, sexual abuse, emotional abuse, emotional neglect, and physical neglect (Bernstein et al., 2003). Each category of trauma is assessed with five questions (e.g. someone tried to touch me in a sexual way or tried to make me touch them) for which the subject had to select a level of frequency: never true, rarely true, sometimes true, often true, or very often true. These responses were then coded on a 5-point Likert scale. Total CTQ scores range from 25 to 125, with each individual abuse sub-scale ranging from 5 to 25 and higher scores indicating more severe abuse. The validity and reliability of the CTQ have been verified in independent studies (Scher et al., 2001; Wright et al., 2001; Paivio and Cramer, 2004). Additionally, the CTQ has been previously used to assess childhood trauma in psychotic disorder patients (Holowka et al., 2003; Compton et al., 2004; Schafer et al., 2006) and prior research has demonstrated the validity of self-report measures in individuals with serious mental illness (Meyer et al., 1996; Goldberg et al., 2002; Niv et al., 2007) and of retrospective reports of abuse in patients with psychosis (Dill et al., 1991; Fisher et al., 2011). Individuals were defined as “abused” for each abuse sub-type if their score corresponded with the lowest threshold for “moderate–severe abuse” as defined by the CTQ manual (for rates of abuse in both groups, see Table 2).

2.2.2. MRI acquisition

Imaging data for all participants was collected on a 3 T Philips Intera Achieva scanner located at the Vanderbilt University Institute of Imaging Science (VUIIS). We acquired a high-resolution T1-weighted fast field echo (FFE) structural scan (170 sagittal slices, matrix=256×256, 1.0 mm isovoxel resolution, TR/TE = 8.0/3.7 ms) on each subject. Foam padding was used to stabilize the head, and earplugs and headphones were provided for each subject to minimize scanner noise. Each subjects’ anatomical T1-weighted image was visually inspected and images with obvious artifact related to movement (i.e. ringing) or significant signal inhomogeneity were not included in the analysis.

2.2.3. Voxel-based morphometry

T1-weighted structural brain images were pre-processed and quantitatively analyzed using the VBM8 toolbox (http://dbm.neuro.uni-jena.de/vbm/download/) for SPM8 (http://www.fil.ion.ucl.ac.uk/spm/software/spm8/). Following bias-correction, the T1-weighted images were segmented and aligned (i.e. linear) normalized to MNI space. Following linear normalization, tissue class images were non-linearly normalized using the high-dimensional DARTEL algorithm to predefined templates provided with the VBM8 toolbox. The gray matter tissue class images were then modulated using the non-linear components derived from the high-dimensional DARTEL normalization step, thereby preserving the absolute amount of tissue after correcting for variation in individual brain sizes. The non-linear modulated DARTEL normalized gray matter images were smoothed with an 8 mm kernel and used in the subsequent voxel-based statistical analyses described below. In addition to the non-linear modulated gray matter images derived through the high-dimensional DARTEL normalization procedure, the native space tissue class images were also output from the VBM8 toolbox from which total gray, white, CSF, and intracranial volume (i.e. GM + WM + CSF) was calculated.

2.3. Statistical analysis

2.3.1. Childhood trauma and brain volume: global effects

The relationship between childhood trauma and brain volumes was analyzed with a partial correlation controlling for age and gender, testing the hypothesis that severity of childhood abuse is correlated with total gray matter volume. A repeated measures analysis of variance (ANOVA) was then used to compare the average brain volume between three demographically-similar groups of subjects: psychotic disorder patients who were sexually abused (n = 24; sexual abuse score ≥ 8), psychotic disorder patients who were not sexually abused (n = 23; sexual abuse score ≤ 7), and healthy control subjects (n = 26). Main

### Table 1

Demographic and clinical characteristics of participants.

<table>
<thead>
<tr>
<th></th>
<th>Healthy control subjects (n=26)</th>
<th>All patients (n=60)</th>
<th>Sub-group: patients with sexual abuse (n=24)</th>
<th>Sub-group: patients without sexual abuse (n=23)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sex (male/female)</td>
<td>13/13</td>
<td>32/28</td>
<td>8/16</td>
<td>11/12</td>
</tr>
<tr>
<td>Race (White/Black/Other)</td>
<td>13/12/1</td>
<td>40/18/2</td>
<td>16/5/1</td>
<td>12/10/1</td>
</tr>
<tr>
<td>Age</td>
<td>38.2 (10.8)</td>
<td>35.5 (13.3)</td>
<td>41.7 (11.9)</td>
<td>36.3 (13.5)</td>
</tr>
<tr>
<td>Participant's education, years</td>
<td>15.3 (2.0)</td>
<td>13.0 (2.4)</td>
<td>12.8 (2.3)</td>
<td>12.6 (2.5)</td>
</tr>
<tr>
<td>Parental education, years</td>
<td>13.4 (1.9)</td>
<td>13.5 (2.6)</td>
<td>13.1 (2.6)</td>
<td>13.1 (2.7)</td>
</tr>
<tr>
<td>Pre-morbid IQ, WTARb</td>
<td>103.7 (13.6)</td>
<td>98.1 (16.9)</td>
<td>94.7 (16.7)</td>
<td>95.8 (17.5)</td>
</tr>
<tr>
<td>HAM-D</td>
<td>–</td>
<td>11.4 (10.7)</td>
<td>16.4 (9.9)</td>
<td>12.3 (12.6)</td>
</tr>
<tr>
<td>YMRS</td>
<td>–</td>
<td>9.0 (8.0)</td>
<td>8.6 (8.1)</td>
<td>9.2 (8.9)</td>
</tr>
<tr>
<td>PANSS total</td>
<td>–</td>
<td>63.4 (15.4)</td>
<td>60.7 (13.9)</td>
<td>66.5 (17.5)</td>
</tr>
</tbody>
</table>

HAM-D, Hamilton Depression Rating Scale; PANSS, Positive and Negative Syndrome Scale; WTAR, Wechsler Test of Adult Reading; YMRS, Young Mania Rating Scale. Mean (SD) values are reported unless indicated otherwise.

a Significantly different between healthy controls and all psychotic disorder patients, p < .001.
b Significantly different between healthy controls and psychosis patients with sexual abuse, p < .05.
effects and interactions were tested with planned one-way ANOVAs and independent-sample t-tests.

### 2.3.2. Childhood trauma and brain structure: regional effects

Follow-up voxel-based analyses were performed for all types of abuse that were significantly correlated with global gray matter volume. To examine the relationship between regional brain volumes and childhood trauma in patients with psychosis, the modulated gray matter images derived from VBM8 were entered into a voxel-wise multiple regression analysis with severity of abuse entered as a predictor, and age and gender entered as nuisance covariates. The effect of abuse was examined across the whole brain and in 3 a priori regions of interest (ROIs) based on previous studies: hippocampus, amygdala, and prefrontal cortex. The hippocampus and amygdala masks were taken from the WFU PickAtlas (version 3.0 (Maldjian et al., 2003)). The prefrontal cortex mask was created from the LONI probabilistic atlas of cortical brain structures (Shattuck et al., 2008) and consisted of the superior, middle, and inferior frontal gyri, the middle and lateral orbitofrontal gyri, the gyrus rectus, and the anterior cingulate gyrus (Woodward et al., 2012).

### 2.3.3. Childhood trauma and brain structure: diagnosis effects

Total gray matter volume was compared between groups using a one-way ANOVA with 3 levels: psychosis with abuse, psychosis without abuse, and control and post-hoc pair-wise t-tests. A series of voxel-based t-tests was used to compare regional differences between groups. For voxel-based analyses a threshold adjustment method based on Monte-Carlo simulations with our whole brain and ROI masks (AlphaSim, http://afni.nimh.nih.gov/pub/dist/doc/manual/AlphaSim.pdf) was used to protect against type I errors. Using a voxel p-value of 0.001 for the whole brain, a minimum cluster size of 136 voxels provides a corrected family wise error rate of $\alpha = 0.05$: hippocampus $k = 384$, amygdala $k = 219$, and pre-frontal cortex $k = 231$.

### 3. Results

Psychotic disorder patients reported significantly more childhood abuse than healthy control subjects for overall abuse ($F(1, 84) = 13.2$, $p < .001$) and all five sub-scales of the CTQ, with the exclusion of emotional neglect which trended in the same direction (physical abuse: $F(1, 84) = 5.3, p = .024$; sexual abuse: $F(1, 84) = 9.4, p = .003$; emotional abuse: $F(1, 84) = 17.0, p < .001$; emotional neglect: $F(1, 84) = 2.9, p = .09$; physical neglect: $F(1, 84) = 5.5, p = .02$ (Fig. 1).

Within the psychotic disorder sample, there was a negative correlation between total CTQ score and gray matter volume ($r = - .27, p = .04$), but no significant correlation between CTQ score and white matter ($r = - .02, p = .87$) or CSF volume ($r = - .19, p = .15$), when controlling for age and gender. To test if this relationship was driven by any particular CTQ subscale, similar correlation analyses were performed. We found a significant negative correlation between sexual abuse and gray matter volume, such that more severe sexual abuse was related to smaller global gray matter volume ($r = - .34, p = .008$; Fig. 2). This relationship was specific to sexual abuse, as gray matter volume was not correlated with any other abuse sub-scale (physical abuse: $r = - .12, p = .38$; emotional abuse: $r = - .18, p = .18$; emotional neglect: $r = - .16, p = .23$; physical neglect: $r = - .20, p = .13$). No relationship was found between sexual abuse score and white matter volume ($r = - .11, p = .42$) or CSF volume ($- .17, p = .20$).

Since both a history of sexual abuse and a psychotic disorder diagnosis have been linked to gray matter volume loss, we attempted to disambiguate the two effects by comparing global gray matter volume between the 24 psychotic disorder patients with sexual abuse to demographically matched samples of psychotic patients without abuse ($n = 23$) and control subjects ($n = 26$). All three groups did not significantly differ on age, gender, race, or parental education (Table 1). A 3 $\times$ 3 ANOVA with group and tissue type entered as between and within subject factors, respectively, revealed a significant group effect for gray matter ($F(2, 70) = 4.03, p = .02$; Fig. 3), but not white matter and CSF volumes ($F(2, 70) = 1.4, p = .25$; $F(2, 70) = .45, p = .64$, respectively). Specifically, as shown in Fig. 3, psychotic patients with a history of sexual abuse had significantly less gray matter volume compared to both healthy control subjects ($t(48) = 2.3, p = .03$; Cohen's $d = .63$) and psychotic disorder patients without a history of sexual abuse ($t(45) = 2.4, p = .02$; Cohen's $d = .71$). Interestingly, psychotic disorder patients without a

![Fig. 1. Psychotic disorder patients reported significantly more childhood abuse than healthy control subjects for all five sub-scales of the Childhood Trauma Questionnaire (CTQ), except for emotional neglect. Independent sample t-tests revealed differences of $p < .03$ for all other sub-scales, as indicated by "*p < .05, and "**p < .01."](image-url)
history of sexual abuse did not differ from healthy control subjects on the overall gray matter volume (t(47) = .40, p = .69).

To test whether the reduced total gray matter volume associated with sexual abuse severity in psychosis patients was localized to any specific brain regions, we performed a voxel-based regression analysis using sexual abuse severity as a predictor, controlling for age and gender. At the whole brain level there was one significant cluster in the left middle frontal gyrus, which was negatively correlated with sexual abuse severity (Fig. 4). This cluster fell within our a priori defined pre-frontal cortex (PFC) ROI. Sexual abuse severity was not correlated with gray matter volume in the hippocampus or the amygdala.

A series of t-tests was used to compare regional gray matter volume between groups (Fig. 5, Table 3). Compared to controls, patients with a psychotic disorder demonstrated reduced gray matter volume in the frontal lobes, occipital lobes, and cerebellum. More widespread differences were observed between controls and psychosis patients with a history of sexual abuse, with clusters of gray matter decreases in the frontal, parietal, temporal and occipital lobes in the patient group. In contrast, psychotic patients without a history of abuse had gray matter decreases in only a single cluster in the left cerebellum relative to healthy controls. Comparing the two patient groups revealed reduced gray matter volume in bilateral frontal regions in psychotic patients with a history of sexual abuse. However, at a less stringent threshold, we detected more widely distributed changes in gray matter volume in both psychosis groups (Supplemental Fig. 1).

4. Discussion

In a sample of psychotic disorder patients we found a significant negative correlation between total gray matter volume and severity of childhood sexual abuse, similar to a previous study of healthy subjects (Dannlowski et al., 2012). Psychotic patients with a history of sexual abuse had significantly smaller total gray matter volume than both healthy control subjects and psychotic disorder patients who were not sexually abused, suggesting that a history of sexual abuse contributes to the well-known reduction in overall gray matter volume in psychotic disorder patients (Young et al., 1978).

In addition to these differences in total gray matter volume, we found a pattern of frontal lobe, occipital lobe and cerebellum gray matter reductions in the psychotic disorder patients, consistent with previous studies (Honea et al., 2008). When comparing each psychotic disorder patient group to healthy controls, patients with a history of sexual abuse exhibited a more widespread pattern of gray matter volume reduction, consistent with previous findings of individuals who experienced abuse (Treadway et al., 2009). A direct comparison between the two psychosis groups showed that psychotic disorder patients with sexual abuse had significantly reduced gray matter volume compared to psychotic disorder patients without sexual abuse (t(47) = 2.4, p = .02; Cohen’s d = .71). However, psychotic disorder patients without sexual abuse did not differ in gray matter volume from healthy control subjects (t(47) = .40, p = .69).
volume in the bilateral prefrontal cortex (PFC), an area previously found to be reduced in individuals who have experienced trauma (Carrion et al., 2001). Importantly, this area covaried linearly with severity of abuse, providing further evidence that the observed gray matter loss is related to the experience of abuse. These data suggest that a specific environmental stressor, such as childhood sexual abuse, may contribute to findings of gray matter reduction often found in psychotic disorder patients (Lim et al., 1996; Glahn et al., 2008).

Relative to healthy controls, psychotic disorder patients without a history of sexual abuse showed significantly reduced gray matter volume only in the cerebellum. The cerebellum has been implicated as a region of neural dysfunction in schizophrenia, which contributes to cognitive impairments via disrupted monitoring of mental events within the context of time (for review, see Andreasen and Pierson, 2008). Additionally, other studies have found reduced cerebellar volume in schizophrenia (Ichimiya et al., 2001; Loeb et al., 2001), providing further evidence that this structural difference is likely due to the diagnosis of a psychotic disorder.

In contrast, psychotic disorder patients who experienced sexual abuse displayed a global reduction in gray matter volume, with widespread regions showing significant reduction compared to healthy controls. The pattern of gray matter reduction in this comparison is similar to many previous studies of gray matter volume loss in psychosis (Zipursky et al., 1992; Bora et al., 2011; Asami et al., 2012; Shepherd et al., 2012), and our data point to sexual abuse as a possible mechanism for this decrease in gray matter volume. Multiple theories attempt to explain the link between childhood maltreatment and abnormal neural development (for review see Glaser, 2000). Most focus on an abnormal stress response mediated by the hypothalamic–pituitary–adrenal (HPA) axis (for review, see Lupien et al., 2009). Increased levels of stress hormones have been linked to reductions in brain volume in abused individuals and cortisol levels have been found to correlate with duration of abuse (De Bellis et al., 1999). Studies on early stress or trauma have found gray matter reductions in the hippocampus (Bremner et al., 1997) and amygdala (Weniger et al., 2009), two structures that are thought to be directly involved in the HPA-axis (for review, see Herman et al., 2005). However, we did not find significant reductions in hippocampal or amygdala gray matter volume related to sexual abuse severity in the psychotic disorder subjects. While this was unexpected, previous studies of post-traumatic stress disorder (PTSD) have failed to find reduced hippocampal volume in patients who have experienced trauma (Bonne et al., 2001; De Bellis et al., 2002), and a recent study of psychosis patients also failed to replicate hippocampal volume reduction associated with childhood trauma.

Fig. 4. The severity of sexual abuse was correlated negatively with gray matter volume in the left middle frontal gyrus (k=265, −48, 23, 31). Statistical parametric maps thresholded at p<.001 (cluster-corrected p<.05).

Fig. 5. Gray matter volume loss in psychotic disorder patients with and without a history of sexual abuse. Compared to healthy subjects, psychotic disorder patients demonstrated gray matter volume loss in regions consistently implicated in the disorder, including the frontal lobe, occipital lobe, and the cerebellum. Gray matter volume loss was more pronounced in psychotic disorder patients with a history of sexual abuse, with reductions across the frontal, parietal, and temporal lobes. In contrast, gray matter volume loss in psychotic disorder patients without a history of sexual abuse was significant only in the cerebellum. Comparing the two patient groups revealed bilateral frontal regions with decreased gray matter volume in patients with a history of abuse. Statistical parametric maps thresholded at p<.001 (cluster-corrected p<.05).
trauma (Aas et al., 2012). It is possible that brain changes associated with psychosis, which include volume reduction of the hippocampus and amygdala (Heckers and Konradi, 2010), render the hippocampus less likely to exhibit the changes seen in healthy subjects after trauma (Hoy et al., 2012).

We did, however, find that bilateral reduction of frontal cortex gray matter volume in psychotic disorders is associated with sexual abuse, supporting previous findings of selective reductions in the frontal cortex following acute stress (De Bellis et al., 2002). The PFC and amygdala (Heckers and Konradi, 2010), render the hippocampus with psychosis, which include volume reduction of the hippocampus trauma (Aas et al., 2012). It is possible that brain changes associated

<table>
<thead>
<tr>
<th>Hemisphere</th>
<th>No. of voxels</th>
<th>Z-score</th>
<th>MNI peak voxel</th>
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</thead>
<tbody>
<tr>
<td>Control &gt; all psychosis</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pre-central gyrus</td>
<td>Left</td>
<td>583</td>
<td>4.53</td>
</tr>
<tr>
<td>Cerebellum</td>
<td>Left</td>
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<td>4.10</td>
</tr>
<tr>
<td>Inferior occipital gyrus</td>
<td>Right</td>
<td>272</td>
<td>4.02</td>
</tr>
<tr>
<td>Control &gt; psychosis with abuse</td>
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<td></td>
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<tr>
<td>Medial frontal gyrus</td>
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<td>4.48</td>
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<tr>
<td>Inferior temporal gyrus</td>
<td>Right</td>
<td>344</td>
<td>4.27</td>
</tr>
<tr>
<td>Precentral gyrus</td>
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<td>Superior temporal gyrus</td>
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</tr>
<tr>
<td>Control &gt; psychosis no abuse</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Cerebellum</td>
<td>Left</td>
<td>348</td>
<td>3.91</td>
</tr>
</tbody>
</table>

Polusny and Foulle. (1995). Despite these constraints, we were able to disambiguate the effects of trauma and diagnosis by comparing three demographically matched sub-groups to isolate a selective effect of sexual abuse on gray matter volume in psychotic disorder patients.

Our results show that classifying individuals by diagnosis alone may not be enough to uncover brain abnormalities in psychotic disorders. Instead, it may be necessary to explore environmental risk factors, such as childhood trauma, in order to better understand individual differences in brain morphology, particularly gray matter loss. Since psychotic disorder patients report more abuse than healthy controls, stratification of patient samples by trauma history appears to be a fruitful approach for future studies of brain structure and function in psychosis.

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The R01 MH70560 granted to Stephan Heckers provided funding for enrollment of subjects into a study protocol that included an MRI, full SCID interview, and administration of the SCIP, CTQ and other psychiatric rating scales.

Contributors
JMS, NDW, LEW and SH conceptualized the study, NDW and LEW set up and performed all VBM analyses. JMS and LEW performed all data analyses. JMS wrote the original draft of the manuscript and prepared the manuscript. NDW, LEW and SH edited the manuscript and helped finalize all analyses.

Conflict of interest
None.

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